

**Investigators' Statements of Financial Interests**

Under UCLA Policy 925, the Principal Investigator and all other UCLA Investigators who share responsibility for the design conduct, or reporting of research must disclose their personal financial interests in any organization(s) that will fund or support research or is an intermediary acting for the sponsor.

PI's name: \_\_\_\_\_

Funding Entity: \_\_\_\_\_

Title of Research Project: \_\_\_\_\_

IRB/ARC No(s) (if applicable): \_\_\_\_\_

Industry supported Clinical Trial:  No  Yes \*If yes, each investigator with Significant Financial Interests to disclose must complete the **Industry Clinical Trial Specific Disclosure Supplement Form** instead of the standard **Disclosure Supplement (October 2010)**.

Reason for Disclosure:  New Application  Additional Support  IRB Request

**Disclosure and Certification**

The Principal Investigator's signature below certifies either that all individuals required to make disclosures of Significant Financial Interests have been listed on this form, or that no other individuals working on the research are required to make such disclosures.

Are there other Investigators who share responsibility for the design, conduct, or reporting of the research?  NO  YES  
If YES, those Investigators should sign and complete the section below.

\_\_\_\_\_  
Signature of Principal Investigator Date For PI Only: Please attach State of California Form 700-U

Do you, your spouse or registered domestic partner, or dependent children have a Significant Financial Interest (*as defined below*) to report?

1. \_\_\_\_\_  NO  YES, Disclosure Supplement\* attached  
Signature of Investigator Date

\_\_\_\_\_  
Print or Type Name of Investigator

2. \_\_\_\_\_  NO  YES, Disclosure Supplement\* attached  
Signature of Investigator Date

\_\_\_\_\_  
Print or Type Name of Investigator

3. \_\_\_\_\_  NO  YES, Disclosure Supplement\* attached  
Signature of Investigator Date

\_\_\_\_\_  
Print or Type Name of Investigator

4. \_\_\_\_\_  NO  YES, Disclosure Supplement\* attached  
Signature of Investigator Date

\_\_\_\_\_  
Print or Type Name of Investigator

**Significant Financial Interest(s):** Significant Financial Interests include but are not limited to:

- *Income* including salary, consulting payments, honoraria, reimbursement of expenses, royalty payments, dividends, loans from an entity, or any other payments or consideration with value, including payments made to a health sciences compensation plan, during the prior twelve months or anticipated in the next twelve months, *of \$10,000 or more*;
- *Equity* in the form of stock, stock options, warrants, real estate, loans to or from an entity, or any other investment or ownership interest exceeding *either* \$10,000 (current market value if publicly traded; otherwise, amount of investment) *or* a 5% ownership interest, for any one enterprise;
- *A management position*, whether paid or unpaid, such as board member, director, officer, partner, or trustee;
- *Ownership or other interest* in an entity that is proposed as a subcontractor, consortium member, lessor or otherwise involved in the project;
- *All intellectual property interests such as* patents, patent applications, trademarks and copyrightable software (but not scholarly publications or other published works) that you own or is controlled by an individual or party other than The Regents, irrespective of whether such intellectual property has resulted in any income.

Reporting is for the individual, his/her spouse or registered domestic partner, and dependent children. Specifically excluded from the definition of Significant Financial Interests are intellectual property owned by The Regents and payments made to you by The Regents for remuneration from the University including salary, stipends, honoraria, reimbursement of expenses and royalty payments.

Under the California Public Records Act, this information may be made available to the public upon request.