

Streamlined request for approval to submit a proposal for research support through the National Bureau of Economic Research (NBER) under the Policy on the Requirement to Submit Proposals and Receive Awards through UC*

Requestor/PI: _____

Department: _____

Campus Address: _____

Email: _____ Telephone: _____

Title of Proposal: _____

Name of research sponsor to which proposal will be submitted through NBER _____

Requests that conform to *all* eligibility criteria below are eligible for the streamlined review and approval process. Please check all boxes that apply:

- | A. Questions: | <u>Yes</u> | <u>No</u> |
|---|-----------------------|-----------------------|
| 1. The scope of work for the proposed research <i>does not overlap</i> with the scope of work of any extramurally supported research being conducted at UCLA. | <input type="radio"/> | <input type="radio"/> |
| 2. Salary requested from NBER is <i>limited to only summer</i> salary with the understanding that no other additional summer compensation (other than 9/12 payments) will be paid by UCLA. This includes summer salary for teaching, administrative compensation, consulting services, and summer session payments. | <input type="radio"/> | <input type="radio"/> |
| 3. Any work on the project not completed over the summer will be completed during the academic year using the allotment of up to 39 days per year for outside professional activities. Any outside consulting days used for conducting research under the NBER grant will be reported on the APM-025 annual report. | <input type="radio"/> | <input type="radio"/> |
| 4. Use of campus facilities will be <i>de minimis</i> (e.g., use of the faculty member's office, office computer and campus libraries). | <input type="radio"/> | <input type="radio"/> |
| 5. Graduate Student Involvement: | | |
| a. if any UCLA graduate students are hired by NBER to work on the project their use of UCLA resources will be <i>de minimis</i> (e.g., use of previously assigned carrels and campus libraries). | <input type="radio"/> | <input type="radio"/> |
| b. if graduate students are permitted to use data derived from the research in theses or dissertations, there will be no restrictive publication terms or embargoes on the release of those theses or dissertations. ¹ | <input type="radio"/> | <input type="radio"/> |
| 6. A copy of the proposal to be submitted through NBER is attached. | <input type="radio"/> | <input type="radio"/> |

¹ Graduate students who are asked to participate in NBER projects should be advised in advance about whether the research and/or research data can be published or used as part of a MA thesis or doctoral dissertation. A best practice would be for all parties (NBER, faculty mentor, student) to sign and date an agreement stating what data, analysis, documentation and reporting based on work funded or otherwise enabled by the NBER project can be published by the UCLA author(s) and utilized by the student in their thesis or dissertation. UCLA does not permit students to include classified or confidential research in their thesis or dissertation.

*<https://policy.ucop.edu/doc/2500500/ReqSubmitProp-Awar>

B. If all answers to questions above are “yes,” then approval will be granted subject to the following understandings:

1. The faculty member and/or graduate student(s) who are given access to databases or data held by NBER will be the party (parties) to any agreements about using and securing that data. UCLA is *not* a party to any such agreement, and is not liable for enforcement or compliance with the terms of the agreement.
2. Faculty who ask UCLA graduate students to participate on an NBER project should be mindful of the importance of ensuring that the outside employment will not impede progress toward degree.
3. If this research involves human subjects, NBER will serve as the IRB of record under an existing inter-institutional reliance agreement between UCLA and NBER. Under the agreement between the two institutions, UCLA faculty need to submit IRB protocols only to NBER. There is no need to submit anything to the UCLA IRB.
4. These outside research activities will be reported on the APM 025 annual report of Category I and II outside activities, including the reporting of time and effort during the summer.
5. Effort on the NBER award must be counted as part of the faculty member’s professional effort which cannot exceed 100%. All proposals submitted through NBER *and/or* UCLA must disclose all current and pending research support regardless of which entity is responsible for administering the award.

I understand that the University is relying on this declaration in reviewing and approving the request:

Requestor’s Signature

Date

Chair’s Signature

Date

Chair’s signature constitutes prior approval under APM 025-8-d to involve a student in an outside professional activity.

Dean’s Signature

Date

Vice Chancellor for Research

Date

The VCR’s signature constitutes approval under the UC Policy on the Requirement to Submit Proposals and receive Awards through UC.

Please submit requests to RPC@research.ucla.edu.

Copies of approved request forms will be kept on file in the Office of the Vice Chancellor for Research and the Academic Personnel Office.

March 2020