

UCLA Research Compliance Roundtable

The UCLA Research Compliance Roundtable was established in October 2005 in response to the increasing complexity of research being conducted, growing demands in the regulatory environment, and heightened public and sponsor scrutiny of research. All of these combined to create new compliance challenges for the campus.

Historically, research compliance at UCLA has been achieved through a variety of mechanisms including promulgation and communication of sponsor and University policy, procedures and guidelines, outreach to and mentoring of young scientists, and internal controls. These responsibilities have been diffused over a wide range of offices and individuals. The UCLA Research Compliance Roundtable is charged with reviewing the varied compliance areas for which the campus is now responsible. It also identifies new or emerging needs, and creates bridges among offices or individuals engaged in cross-cutting or complementary activities.

The Research Compliance Roundtable is chaired by Roberto Peccei, Vice Chancellor for Research. Ann Pollack, Assistant Vice Chancellor – Research, and the designated Campus Research Compliance Coordinator, serves as coordinator for the Roundtable and provides logistical support for its activities through her office. Representatives of various operational and functional units have been invited to participate on the roundtable and in discussions aimed at identifying cross-jurisdictional issues and enhancing communication across organizational boundaries.

Members of the Research Compliance Roundtable include:

Roberto Peccei, Vice Chancellor for Research (Chair)
Susan Abeles, Associate Vice Chancellor/Controller, Corporate Financial Services
Kathryn Atchison, Vice Provost for Intellectual Property and Industry Relations
Evelyn Balabis, Director, Extramural Fund Management
Judith Brookshire, Director, Office for the Protection of Research Subjects
William Cormier, Director, Administrative Policies & Compliance
Shari Faris, Hospital Legal Counsel, UCLA Medical Center
James Gibson, Director, UCLA Environment, Health & Safety
James R. Greenwood, Assistant Vice Chancellor – Research Administration
Patricia Jasper, Campus Counsel
Vikki Jenkins, Director, Office of Clinical Trials, David Geffen School of
Medicine
Kip Kantelo, Assistant Director, Office for the Protection of Research Subjects
Carole Klove, Director, Corporate Compliance, UCLA Medical Enterprise
Stanley Korenman, Medical Director, General Clinical Research Center
Linda Lee, Director, Office of Contract and Grant Administration
Barbara Levey, Assistant Vice Chancellor, Biomedical Affairs
Claudia Modlin, Coordinator, Research Policy & Compliance
Steven Peckman, Associate Director, Broad Center of Regenerative Medicine &
Stem Cell Research

Ed Pierce, Director, Audit and Advisory Services
Ann Pollack, Assistant Vice Chancellor – Research
William Quan, Director, Clinical Research Unit, Jonsson Comprehensive Cancer Center
J. Thomas Rosenthal, Chief Medical Officer, UCLA Healthcare/Associate Vice
Chancellor, David Geffen School of Medicine